Tear Interferon-Gamma as a Biomarker for Evaporative Dry Eye Disease

David Charles Jackson,1,2 Weiguang Zeng,1 Chinn Yi Wong,1 Edin Jessica Mifsud,1 Nicholas Andrew Williamson,3 Ching-Seng Ang,3 Algis Jonas Vingrys,4 and Laura Elizabeth Downie4

1Department of Microbiology and Immunology at the Peter Doherty Institute of Infection and Immunity, The University of Melbourne, Parkville, Victoria, Australia
2Research Center for Zoonosis Control, Hokkaido University, Sapporo, Japan
3The Bio21 Molecular Science and Biotechnology Institute, The University of Melbourne, Parkville, Victoria, Australia
4Department of Optometry and Vision Sciences, The University of Melbourne, Parkville, Victoria, Australia

Correspondence: Laura E. Downie, Department of Optometry and Vision Sciences, University of Melbourne, Parkville, VIC 3010, Australia; ldownie@unimelb.edu.au.

Submitted: April 18, 2016
Accepted: July 27, 2016

Citation: Jackson DC, Zeng W, Wong CY, et al. Tear interferon-gamma as a biomarker for evaporative dry eye disease. Invest Ophthalmol Vis Sci. 2016;57:4824–4830. DOI:10.1167/iovs.16-19757

PURPOSE. To assess whether tear hyperosmolarity, being diagnostic of dry eye disease (DED), is associated with specific alterations to the cytokine content of human tears that may provide a biomarker for DED.

METHODS. In this prospective, cross-sectional, clinical study, participants (n = 77) were recruited from a single clinical site and categorized into groups based upon tear osmolarity status (n = 62 hyperosmolar, n = 15 normo-osmolar). Comprehensive anterior eye clinical assessments were undertaken. Concentrations of seven cytokines (IL-2, IL-4, IL-6, IL-10, IL-17A, IFN-γ, and TNF-α) in basal tears were assayed using multiplex cytokmetric bead array. The main outcome measure was difference in cytokine concentration between groups. Group comparisons were undertaken using 2-tailed t-tests. Cohen’s effect size was calculated for each finding. Spearman correlations between cytokine concentrations, clinical symptoms, and clinical parameters of DED were calculated.

RESULTS. Tear hyperosmolarity was specifically associated with increased tear IFN-γ levels (13.3 ± 2.0 vs. 4.4 ± 1.4 pg/mL, P = 0.03). Cohen’s effect size was large (0.8) for changes to tear IFN-γ levels. Significant correlations were observed between IFN-γ concentration and each of: tear osmolarity (r = 0.34; P = 0.007), total ocular surface staining (r = 0.56, P < 0.0001), and Schirmer test score (r = −0.33, P = 0.003).

CONCLUSIONS. Tear hyperosmolarity is specifically associated with higher levels of the proinflammatory cytokine IFN-γ, which correlate with key clinical parameters of DED. The calculated effect size (0.8) suggests that this assay has diagnostic power as a biomarker for evaporative DED.

Keywords: dry eye, diagnosis, cytokine, inflammation, osmolarity, tear, interferon-gamma

Tear hyperosmolarity is a consequence of reduced lacrimal secretion and/or excessive tear evaporation, and is recognized to be a key pathogenic mechanism in dry eye disease (DED).1–4 While historically the clinical assessment of tear osmolarity has been hindered by difficulties associated with tear collection methods and access to laboratory facilities, technological advancements have enabled tear osmolar determinations to become clinically viable. Measurement of tear osmolarity has been suggested to be the single best objective indicator of DED severity.5 A diagnostic cut-off value of 316 mOsm/L has been described to be highly specific for diagnosing clinically significant DED, yielding a sensitivity of 73% and a specificity of 90%.6

In DED, abnormal elevation of the osmolarity of the tear fluid is proposed to induce epithelial injury through the activation of inflammatory events at the ocular surface, resulting in the liberation of inflammatory mediators that can induce epithelial cell apoptosis.1,7 Short-term exposure of cultured human corneal epithelial cells to an hyperosmolar medium can induce IL-6 expression.8 In an animal model of ocular surface disease, tear hyperosmolarity resulted in conjunctival goblet cell death and tear film instability.9 Furthermore, several studies have described changes to proinflammatory cytokines and chemokines in tear fluids from individuals having heterogeneous clinical classifications of DED.10–17 Supporting the role of inflammation in the pathogenesis of DED is a body of clinical evidence that anti-inflammatory therapies, including corticosteroids and cyclosporine, reduce inflammatory markers, and provide symptomatic improvement.18–21

The aim of the study was to characterize tear composition in humans having hyperosmolar tears, to determine whether tear hyperosmolarity is associated with specific changes to tear cytokines that could be useful as a diagnostic clinical marker for DED.

METHODS

This research project was conducted in accordance with the tenets of Declaration of Helsinki and was approved by the University of Melbourne Human Research Ethics Committee.
Clinical Examination Procedures

Tear Osmolarity. Tear osmolarity was measured from the inferior lateral tear meniscus using the TearLab (TearLab Corp., San Diego, CA, USA) system. The instrument was calibrated daily according to the manufacturer’s instructions. Room temperature was strictly maintained between 20°C and 24°C. Participants using lubricant eye drops were instructed not to instill these for at least 2 hours prior to examination and this was confirmed prior to taking measurements. The same diagnostic pen was used for all assessments. Right eyes were measured first.

Tear Collection. Basal (unstimulated) tear fluid, approximately 4 to 5 μL per eye, was collected by capillary flow from the inferior lateral meniscus of each eye using disposable microcapillary tubes (20 μL MicroCaps; Drummond, Broomall, PA, USA). Tear flow rate was monitored to exclude dilution effects caused by reflex tearing. Only samples with a flow rate of 1 to 3 μL/min were used (i.e., any 3-μL sample collected in less than 60 seconds was excluded). Tear samples were stored at −80°C for subsequent analysis.

Meibomian Gland Evaluation. The eyelids were examined at a slit-lamp biomicroscope for the degree of meibomian gland capping, which was quantified using the Efron scale, from 0.0 to 4.0.

Sodium Fluorescein Tear Break-Up Time (NaFl TBUT). NaFl TBUT, being a clinical measure of tear stability, was measured using Dry Eye Test (DET) strips (Amcon Laboratories, St. Louis, MO, USA), consisting of a slim applicator tip impregnated with 0.12 mg NaFl to instil approximately 1 μL of fluid into the eye. Dry Eye Test strips show improved measurement reliability and enhanced precision compared with conventional strips. A DET was moistened with nonpreserved saline and applied to the superior bulbar conjunctiva. One minute after instillation, NaFl TBUT was measured at a slit-lamp biomicroscope using ×10 magnification with cobalt blue illumination and a Wratten 12 yellow-barrier filter. Participants were asked to gently blink twice and then to hold their eyes open for as long as possible. The interval between the second blink and the appearance of the first dark spot or discontinuity in the precorneal fluorescein-stained tear layer was noted. Three NaFl TBUTs were recorded for each eye and averaged.

Total Ocular Surface Staining. Corneal NaFl staining, being a measure of corneal epithelial damage, was examined at the slit-lamp biomicroscope using ×16 magnification with cobalt blue illumination and a Wratten 12 yellow-barrier filter, 2 minutes after instillation of NaFl. The degree of staining was assessed in each eye with the five-step diagrammatic Oxford scale, with the clinician subjectively using 0.1-grading increments to maximize precision. Nasal and temporal conjunctival LG staining was assessed using the Oxford scale, 1 minute after instillation of LG (GreenGlo; Sigma Pharmaceuticals, LLC, North Liberty, IA, USA). A “total ocular surface staining” score (ranging from 0.0–15.0), being the sum of the individual NaFl corneal, LG temporal conjunctival, and LG nasal conjunctival scores, was determined.

Schirmer Test. The Schirmer test, with topical anesthesia, was undertaken to measure tear production. One drop of 0.5% proxymetacaine hydrochloride (Alcon Laboratories, New South Wales, Australia) was instilled into the lower conjunctival sac. After 4 minutes, the folded end of a sterile Schirmer strip (EagleVision, Memphis, TN, USA) was placed between the middle and lateral third of the inferior lid margin. Participants were instructed to close their eyes, in the dimly lit room, and the strip wetting was measured (and recorded in millimeters), after 5 minutes.

Tear Cytokine Analyses

Tear cytokine concentrations were measured from the collected basal tear samples. Levels of IL-2, IL-4, IL-6, IL-10, IL-17A, IFN-γ, and TNF-α were determined using a Cytometric Bead Array (CBA) Human Th1/Th2/Th17 kit (BD Biosciences, San Diego, CA, USA) according to the manufacturer’s instructions, with the exception that samples were diluted 1 in 8.333 in a final volume of 25 μL and a total of 1 μL of each capture bead was used in 25 μL of tear sample. Samples were analyzed on a Becton Dickinson FACSCanto II flow cytometer (Franklin Lakes, NJ, US) and data analyzed using Becton Dickinson FCAP Array software.

The average concentration (pg/mL) of the seven cytokines in the multiplex assay was calculated for each tear sample. To assess for the potential effect of unintended reflex tearing causing a generalized dilution of tear proteins, the relative concentration of each tear cytokine (i.e., IL-2, IL-4, IL-6, IL-10, IL-17A, IFN-γ, TNF-α) was calculated as a ratio of the overall average cytokine concentration.

Statistical Analyses

Data were analyzed using the GraphPad Prism 5 software package (GraphPad Software, San Diego, CA, USA). Descriptive statistics are summarized as mean ± SEM. A Kolmogorov Smirnov test was used to assess for normality of continuous variables. Comparisons between groups were undertaken using either a t-test or Mann-Whitney U test, as appropriate. A χ² test was used to compare discrete variables. Pairwise
correlations were explored using Spearman’s correlation coefficient (r). Cohen’s effect size was calculated, as the difference of the means of the two groups divided by the weighted pooled SDs of these groups, as a quantitative measure of the substantive impact of each finding. Effect size magnitude (d) is reported, as recommended by Cohen, and described using standard nomenclature as: negligible (<0.20), small (0.20–0.49), medium (0.50–0.79), large (0.80–1.29), or very large (>1.30).27 An alpha value of 0.05 was adopted for statistical significance.

RESULTS

Participant Characteristics

Table 1 summarizes the demographic and clinical findings for each study group. The normo-osmolar and hyperosmolar tear groups were of similar age and sex. Dry eye symptoms (OSDI score) and total ocular surface staining were higher in the hyperosmolar group, while NaFl TBUT was significantly shorter (P < 0.05) for both comparison. Consistent with the DED population being predominantly of the evaporative subtype, there was no significant difference in Schirmer test findings between groups (P > 0.05) and a higher grade of meibomian gland capping in the hyperosmolar tear group (Table 1, P < 0.05).

Tear Cytokines

Group data for the levels of cytokines, assayed from basal tears, are shown in Table 2. The only significant difference between groups was for the concentration of IFN-γ, which was elevated in the hyperosmolar tear group (13.3 ± 2.0 vs. 4.4 ± 1.4 pg/mL, P < 0.03). The magnitude of difference between groups, as quantified with Cohen’s effect size (d) was large (0.8) only for changes to tear IFN-γ. Cohen’s U3 calculation indicates that 79% of the hyperosmolar tear group have a higher concentration of tear IFN-γ than the mean of the normo-osmolar group.28 The average tear concentration of the seven cytokines did not differ significantly between groups (normo-osmolar: 15.0 ± 2.6 vs. hyperosmolar: 17.0 ± 3.5 pg/mL, P > 0.05), indicating similar overall content of these proteins in tear samples from both study groups. To assess whether unintended reflex tearing could have led to a generalized dilution of tear proteins, the relative concentration of the individual tear cytokines was calculated as a ratio of average cytokine concentration. This analysis confirmed the findings reported for absolute tear cytokine levels, whereby the only significant difference between groups was for IFN-γ, which had a 9-fold higher relative concentration in the tear hyperosmolar tear group (Fig. 1, normo-osmolar: 0.20 ± 0.06 versus hyperosmolar: 1.8 ± 0.4, P < 0.05).

Spearman correlation coefficients between absolute tear cytokine levels and clinical parameters of ocular surface disease are summarized in Table 3. Tear osmolarity (Fig. 2A; r = 0.54, P = 0.007) and total ocular surface staining (Fig. 2B; r = 0.56, P < 0.0001) both positively correlated with tear IFN-γ concentration, while the Schirmer score was negatively correlated with IFN-γ levels (Table 3; r = −0.33, P = 0.005). A significant negative correlation was also evident between Schirmer score and tear IL-6 levels (r = −0.23, P = 0.04).

DISCUSSION

This study sought to assess whether tear hyperosmolarity, being a ubiquitous feature of DED,1,2 is coupled with changes to tear cytokine levels. Tear hyperosmolarity was found to be specifically associated with elevated tear concentrations of the proinflammatory cytokine. IFN-γ. Cohen’s effect size was large (0.8) only for changes to IFN-γ levels, suggesting its potential...
body of evidence supports the role of T-helper (Th) CD4 cells in mediating chronic inflammation in DED. CD4 undertook in animal models of the condition.36 In a mouse suggested as a potential biomarker for DED based upon work individuals with DED.31,32 In an environmental desiccating have been shown to infiltrate the conjunctival tissues of deficient DED. 41 Moreover, increased IFN- expression of IFN- in inflammation was mediated by CD4 cytotoxic and natural killer (NK) cells, secrete the proinflammatory T cells. Importantly, activated Th-1 cells, in addition to T- populations, namely Th-1, Th-2, Th-17, and induced regulatory T cells can mature into at least four distinct cell subtypes, it is unclear whether our findings (from a clinical perspective) is a generalized feature of DED. 42 has been shown to inhibit conjunctival apoptosis in response to desiccating stress murine model of dry eye, localized ocular surface inflammation was mediated by CD4 T cells.35 Furthermore, therapeutic interventions that specifically modulate T lymphocytes, such as cyclosporine-A, can reduce the clinical expression of DED.34

Naive CD4+ T cells can mature into at least four distinct cell populations, namely Th-1, Th-2, Th-17, and induced regulatory T cells. Importantly, activated Th-1 cells, in addition to T-cytotoxic and natural killer (NK) cells, secrete the proinflammatory cytokine, IFN-γ. This cytokine, which has been implicated in immune responses relating to delayed-type hypersensitivity and inflammation,35 has been previously suggested as a potential biomarker for DED based upon work undertaken in animal models of the condition.36 In a mouse model, IFN-γ secreting NK cells were reported to promote the initiation of DED.37 Interferon-γ also exacerbates conjunctival apoptosis in response to desiccating stress38 and promotes conjunctival squamous metaplasia in murine dry eye.39 Furthermore, topical inhibition of IFN-γ can inhibit conjunctival goblet cell loss by modulating apoptosis.40 Clinically, elevated tear IFN-γ levels have been described in heterogeneous populations of DED patients.11-13 Higher conjunctival expression of IFN-γ has recently been reported in individuals with non-Sjögren and Sjögren syndrome–associated aqueous-deficient DED.41 Moreover, increased IFN-γ expression was shown to correlate with the degree of goblet cell loss, extent of reduced mucin production, and the severity of conjunctival pathology.

It is therefore noteworthy that we find elevated tear osmolarity to be specifically associated with increased tear concentrations of IFN-γ and that this finding has a large value as a tear biomarker for DED. Furthermore, significant correlations were observed between tear IFN-γ concentration and key clinical indicators of DED, being tear osmolarity, total ocular surface staining, and Schirmer test score.

Although the pathogenesis of DED has not yet been clearly elucidated, the condition is recognized to be an immune-based inflammatory disease of the ocular surface.29,30 A significant body of evidence supports the role of Th helper (Th) CD4+ T cells in mediating chronic inflammation in DED. CD4+ T cells have been shown to infiltrate the conjunctival tissues of with non-Sjögren and Sjögren syndrome–associated aqueous populations of DED patients. This study is the first to demonstrate a specific link between increasing hyperosmolarity and this proinflammatory cytokine. Moreover, the effect size calculations suggest that tear IFN-γ assay could have diagnostic power as a biomarker for DED.

Our findings suggest that tear IFN-γ assay could be of value for identifying clinical cases of DED with a significant inflammatory overlay, which would therefore benefit from anti-inflammatory intervention. It also follows that tear osmolarity measures may provide a useful surrogate clinical marker for tear IFN-γ levels and could therefore be useful for monitoring the effects of anti-inflammatory treatments on the chronic inflammatory overlay that characterizes DED. Indeed, the immunomodulatory agent cyclosporine-A, which inhibits the production of IFN-γ by Th-1 cells,32 has been shown to reduce tear osmolarity in chronic ocular surface disease.15 By defining the study groups dichotomously on the basis of tear osmolarity (i.e., normo-osmolar versus hyperosmolar), differentiation was indirectly achieved for most dry eye clinical parameters. The tear hyperosmolarity group had significantly more symptoms (measured using OSDI score), more substantial ocular surface staining, shorter NaFl TBUTs and greater meibomian gland capping than the normo-osmolar tear group (Table 1). Of note, Schirmer test scores did not differ between groups. This finding is in agreement with previous work that has demonstrated a lack of consistent relationships between common signs and symptoms of DED.44,45 This constellation of clinical findings (i.e., reduced NaFl TBUT, tear hyperosmolality, meibomian gland obstruction, and a Schirmer score within the normative range), implies that the DED in our population was predominantly evaporative in etiology, rather than being due to insufficient aqueous production.

In evaporative subtypes of DED, tear hyperosmolality occurs as a consequence of an insufficient and/or poor quality tear lipid layer; this promotes tear instability and more rapid evaporation of the tear film from the ocular surface, even in the presence of a normal tear volume.1 This subtype is distinct from aqueous-deficient DED, which results from impaired lacrimal secretion. Given the etiological differences in dry eye subtypes, it is unclear whether our findings (from a clinical population with predominantly evaporative DED) can be extrapolated to aqueous-deficient DED. Nevertheless, the findings of higher conjunctival expression of IFN-γ in patients with non-Sjögren and Sjögren syndrome–associated tear film dysfunction,43 lend support to the concept of this potentially being a generalized feature of DED.

The clinical phenotypes of study populations are likely to contribute to at least some of the differences in our findings compared with earlier work. In particular, we did not observe any difference in tear levels for the other tested cytokines, IL-2, IL-4, IL-6, IL-10, IL-17A, and TNF-α, between our age- and sex-similar groups. The observed similarity in these cytokines between normo-osmolar tears and the hyperosmolar group (with primarily evaporative DED) supports the findings of Boehm and colleagues.37 In contrast, Massingale et al.4 found elevation in a range of proinflammatory cytokines, including IFN-γ, within a small sample (n = 7) of DED patients. This study included only female participants, with and without Sjögren’s syndrome, which may have contributed to the relatively higher levels of inflammatory markers detected.

Interestingly, although IL-6 levels did not differ between the study groups, across the entire study population levels of this tear cytokine negatively correlated with aqueous tear produc-
tion, as quantified using the Schirmer test with anesthesia. These findings suggest that lower tear production, even within a physiological range, may be associated with measurably higher levels of this proinflammatory cytokine. Lam and colleagues, who defined participant groups using strict clinical criteria but did not measure tear osmolarity, reported an overall increase in tear IL-6, IL-8, and TNF-α levels in patients with dysfunctional tear syndrome. In this study, different tear chemokine/cytokine profiles were observed in individuals with and without meibomian gland dysfunction, being the leading cause of evaporative DED. Tear IFN-γ concentration positively correlated with corneal fluorescein and conjunctival LG staining scores, consistent with our findings.13

We acknowledge that an inherent limitation of studies involving tear fluid collection is the possibility that some participants may experience an extent of reflex tearing during sampling. To minimize the potential confound of this effect, we carefully monitored tear flow rate and excluded any samples that exceeded our threshold collection rate for basal tears. Another analytical approach involves the quantification of cytokine levels relative to total tear protein or constitutive proteins.46 Although the measurement of total tear protein was

### Table 3. Correlation Between Tear Cytokines and Clinical Parameters of DED (n = 77 Participants)

<table>
<thead>
<tr>
<th>Cytokine</th>
<th>Tear Osmolarity</th>
<th>OSDI Score</th>
<th>NaFl TBUT</th>
<th>Meibomian Gland Capping</th>
<th>Total Ocular Surface Staining</th>
<th>Schirmer Score</th>
</tr>
</thead>
<tbody>
<tr>
<td>IL-2</td>
<td>-0.08 (P = 0.47)</td>
<td>0.21 (P = 0.07)</td>
<td>-0.11 (P = 0.35)</td>
<td>0.14 (P = 0.26)</td>
<td>-0.05 (P = 0.68)</td>
<td>0.08 (P = 0.49)</td>
</tr>
<tr>
<td>IL-6</td>
<td>0.03 (P = 0.78)</td>
<td>-0.05 (P = 0.64)</td>
<td>0.05 (P = 0.66)</td>
<td>-0.14 (P = 0.24)</td>
<td>0.03 (P = 0.83)</td>
<td>0.04 (P = 0.69)</td>
</tr>
<tr>
<td>IL-10</td>
<td>0.07 (P = 0.55)</td>
<td>-0.12 (P = 0.29)</td>
<td>-0.03 (P = 0.83)</td>
<td>-0.21 (P = 0.08)</td>
<td>0.07 (P = 0.55)</td>
<td>-0.23 (P = 0.04)</td>
</tr>
<tr>
<td>TNF-α</td>
<td>-0.11 (P = 0.62)</td>
<td>-0.12 (P = 0.29)</td>
<td>-0.07 (P = 0.53)</td>
<td>-0.17 (P = 0.13)</td>
<td>0.05 (P = 0.68)</td>
<td>-0.006 (P = 0.96)</td>
</tr>
<tr>
<td>IFN-γ</td>
<td>0.34 (P = 0.007)</td>
<td>0.12 (P = 0.30)</td>
<td>-0.14 (P = 0.20)</td>
<td>0.03 (P = 0.77)</td>
<td>0.56 (P &lt; 0.0001)</td>
<td>-0.35 (P = 0.003)</td>
</tr>
<tr>
<td>IL-17A</td>
<td>0.11 (P = 0.55)</td>
<td>-0.15 (P = 0.20)</td>
<td>0.001 (P = 0.99)</td>
<td>-0.08 (P = 0.49)</td>
<td>-0.008 (P = 0.94)</td>
<td>-0.13 (P = 0.27)</td>
</tr>
</tbody>
</table>

Numbers represent Spearman correlation coefficients. Significant (P < 0.05) correlations are shown in bold.

**Figure 2.** Tear IFN-γ concentration (pg/ml) versus (A) tear osmolarity (mOsmol/L) and (B) total ocular surface staining score (measured using the Oxford grading scale, /15.0). **Open symbols** designate the normo-osmolar tear group and **filled symbols** designate the hyperosmolar tear group.
limited by the volume of collected tears (~4 μL/eye) in this study, we quantified the relative concentration of each tear cytokine as a ratio of the overall average cytokine concentrations, in order to assess for generalized dilution effects. Importantly, this analysis confirmed the findings reported for absolute tear cytokine levels, whereby the only significant difference between study groups was for IFN-γ (Fig. 1), where there was a 9-fold higher relative concentration of this cytokine in the tear hyperosmolar tear group. Confirmation of an elevation in tear IFN-γ level using relative cytokine measures, in addition to the absolute cytokine quantification, provides greater confidence in this finding.

In conclusion, this study demonstrates, for the first time, that tear hyperosmolarity is specifically associated with higher tear levels of the proinflammatory cytokine IFN-γ, which correlate with clinical parameters of DED. Further investigation is warranted regarding the use of tear IFN-γ level as a biomarker for evaporative DED, in particular in relation to the modulation of this cytokine in response to therapy.

Acknowledgments

The authors thank Laura Deinema, who collected clinical data and tear samples used in this study.

Supported by a grant from the Rebecca L Cooper Medical Foundation (LED, 2015; Sydney, NSW, Australia). DCJ is a recipient of a National Health and Medical Research Council of Australia (NH&MRC) Research Fellowship.

Disclosure: D.C. Jackson, None; W. Zeng, None; C.Y. Wong, None; E.J. Mifsud, None; N.A. Williamson, None; C.-S. Ang, None; A.J. Vingrys, Allergan Pty Ltd. (F), CooperVision Pty Ltd. (F), Alcon Pty Ltd. (F); LE. Downie, Allergan Pty Ltd. (F), CooperVision Pty Ltd. (F), Alcon Pty Ltd. (F)

References


