The Effect on Retinal Structure and Function of 15 Specific ABCA4 Mutations: A Detailed Examination of 82 Hemizygous Patients

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Submitted: August 2, 2016
Accepted: September 22, 2016
Citation: Fakin A, Robson AG, Chiang J(P-W), et al. The effect on retinal structure and function of 15 specific ABCA4 mutations: a detailed examination of 82 hemizygous patients. Invest Ophthalmol Vis Sci. 2016;57:5963–5973. DOI:10.1167/iovs.16-20446

PURPOSE. To determine the effect of 15 individual ABCA4 mutations on disease severity.

METHODS. Eighty-two patients harboring 15 distinct ABCA4 mutations in trans with null (hemizygous), 10 homozygous, and 20 nullizygous patients were recruited. Age of onset was determined from medical histories. Electroretinography (ERG) responses were classified into three groups (normal; cone dysfunction; cone and rod dysfunction). The dark-adapted bright-flash (DA 10.0) a-wave amplitudes and the light-adapted flicker ERG (LA 3.0 30 Hz) amplitudes were plotted against age and compared with the nullizygous patients. Fundus autofluorescence imaging (FAF) was assessed when available.


CONCLUSIONS. In the hemizygous state, 2/15 ABCA4 alleles retain preserved peripheral retinal function; 7/15 are associated with either preserved or only mildly abnormal retinal function, worse in older patients; 6/15 behave like null mutations. These data help characterize the degree of dysfunction conferred by specific mutant ABCA4 proteins in the human retina.

Keywords: ABCA4, retinal dystrophy, electrophysiology, inherited retinal disease, fundus autofluorescence
nullizygous, were examined in parallel (60% male, median age 12 years; range, 6–40) and used for comparison, and one nullizygous patient without ERG data was recruited for FAF comparison in his age group. All patients were recruited from a cohort of 488 genetically confirmed patients with ABCA4-retinopathy. Mutations were included if there was at least one hemizygous patient with ERG data. If the phenotype was mild at a young age (normal ERG at age < 20 years), there had to be at least one older patient with the same mutation (>40 years) in an attempt to assess possible ERG deterioration with age. In addition, for mutations associated with severe phenotypes, a cohort of homozygous patients (n = 10) was reviewed in order to examine the clinical effect of doubled allele dosage. That is, the clinical consequence of a missense allele behaving like a null would be comparable in the hemi- and homozygous states, whereas one with residual function might be expected to show an improved clinical outcome when homozygous, where gene dosage is twice that of a hemizygote.

Table 1. Number of Included Patients for Each of the Studied ABCA4 Mutations

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<tr>
<th>Mutation</th>
<th>Amino Acid Change</th>
<th>Hemizygous</th>
<th>Homozygous</th>
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<tr>
<td>c.2586G&gt;C</td>
<td>p.G863A/delG</td>
<td>19</td>
<td>NA</td>
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<td>c.1906C&gt;T</td>
<td>p.L2027F</td>
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<td>c.6449G&gt;A</td>
<td>p.C2150Y</td>
<td>6</td>
<td>NA</td>
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<tr>
<td>c.5714+5G&gt;A</td>
<td>Splice-site mutation</td>
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<td>NA</td>
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<tr>
<td>c.71G&gt;A</td>
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<td>p.R2030Q</td>
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<tr>
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<td>p.R212C</td>
<td>3</td>
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<td>3</td>
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<td>p.R1108C</td>
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<td>1</td>
</tr>
<tr>
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<td>p.L541P; p.A1038V complex allele</td>
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<td>82</td>
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Categorizing ABCA4 Mutations

The clinical impact of the different ABCA4 mutations was determined using ERGs in the following steps. (1) The proportion of patients with group 1 ERG was determined for each genotype, and on the basis of that, the categories of mild, intermediate, and null-like mutations were established. (2) In order to confirm that the categories had clearly separate amplitude progression patterns and to further subclassify the intermediate category, the DA 10.0 (dark adapted; ERG to flash strength of 10.0 cd.s.m⁻²) a-wave amplitudes were plotted against age and compared with a regression line fitting equivalent measurements in nullizygous patients (where the line’s 95% confidence interval was used to detect a significant difference). The LA 3.0 30-Hz (light-adapted ERG, flash strength 3.0 cd.s.m⁻²) amplitude was analyzed in a similar manner; other ISCEV Standard ERG parameters were analyzed as a supportive measure. (3) Homozygous patients were assessed for mutations associated with the most severe phenotypes to determine whether the addition of a further identical allele resulted in a milder phenotype. The relationship between amplitude and peak time of DA 10.0 a-wave and LA 3.0 30 Hz was explored in patients with abnormal ERGs, to determine whether any of the mutations produced a different mode of disease progression (e.g., peak time change prior to amplitude loss or vice versa).
The ERG data from 137 healthy controls (n = 137) were plotted on all charts as reference for the normal age-related change in ERG amplitudes.

The majoritity of genotypes were ascertainment by next-generation sequencing. Polymerase chain reaction primer sets were printed onto a specific chip. Each primer set is duplicated on the chips in order to avoid random PCR failure. Any low-coverage region (&lt;100%) was covered afterward by PCR and Sanger sequencing. Identified mutations and novel variations were confirmed by Sanger sequencing. All exons and exon/intron boundaries were sequenced. Exon 1 is defined as the exon having the start codon ATG. Codon 1 corresponds to the start ATG and nucleotide 1 to the A. The canonical ABCA4 transcript sequence, ENST00000370225, was used to compare exonic sequence. Phase of the two variants was explored in the majority of patients (when the relatives were available), and in each case they were in trans. When segregation was not possible, the variants were assumed to be in trans if (1) the phenotype was consistent with ABCA4-retinopathy; (2) the patient had exactly two ABCA4 mutations (with complex allele p.L541P-p.A1038V counting as one); and (3) the two ABCA4 mutations were not previously reported in cis in a complex allele. All included patients met those criteria.

**Statistical Analysis**

Statistical analysis was performed using SPSS software v. 22 (IBM SPSS Statistics, IBM Corporation, Chicago, IL, USA). The Mann-Whitney test was used to test for significant differences in median age of onset between the patients, hemizygous for each of the studied mutations, and the nullizygous group. The 95% confidence interval of the nullizygous regression line was used to determine whether the ERG amplitudes of the hemizygous patients differed significantly from those of the nullizygous patients. Fisher's exact test was used to compare the frequencies of an abnormal ERG among different genotypes associated with widespread FAF abnormalities.

Institutional Review Board (IRB)/Ethics Committee approval was obtained. The research adhered to the tenets of the Declaration of Helsinki.

**Results**

**Age of Disease Onset**

Six mutations (c.5714+5G&gt;A, p.R24H, p.G863A, p.G1961E, p.L2027F, and p.R2030Q) had significantly later median ages of onset (Mann-Whitney U test, P &lt; 0.05) compared to the nullizygous group, while seven mutations (p.R212C, p.L541P-A1038V, p.E1022K, p.R1108C, p.P1380L, p.C1490Y, and p.C2150Y) were comparable to the nullizygous group (Fig. 1A); onset data were not available for p.E1087K and p.T1526M.

**Electroretinography**

A summary of the main ERG parameters for each of the studied mutations appears in Supplementary Table S2. Figure 1B shows the frequency of different ERG groups for each of the studied mutations. Two mutations were consistently associated with normal (group 1) ERGs, and six mutations were consistently associated with abnormal ERGs (group 2 or 3). Seven mutations could be associated with either normal or abnormal ERGs. The age distribution analysis of the latter revealed a tendency toward a normal ERG at younger ages and abnormal ERG at older ages (Fig. 1C), but the number of patients was too low for statistical analysis. None of the mutations was exclusively associated with ERG group 2. Patients with ERG group 2 were further explored in terms of age and disease duration (Supplementary Fig. S1). Within each genotype, the age and/or disease duration of those patients fell between...
**The Disease Severity of Specific ABCA4 Alleles**

**The plots of DA 10.0 a-wave amplitudes against age show that patients hemizygous for p.G1961E or p.R2030Q had significantly better amplitudes than nullizygous patients in all cases (Fig. 2A) and were thus classified as mild. In contrast, none of the patients hemizygous for p.E1022K, p.E1087K, p.C1490Y, p.T1526M, p.C2150Y, or p.L541P+A1038V had significantly better amplitudes than nullizygous patients (Fig. 2D), and were thus classified as null-like. The majority of patients hemizygous for c.5714+5G>A, p.R24H, p.G863A, or p.L2027F had significantly better amplitudes than nullizygous patients, even in cases where the ERG amplitudes were subnormal (mostly older patients) (Fig. 2B). Patients hemizygous for R212C, p.R1108C, or p.P1380L had significantly better amplitudes at younger (<20 years) but not older ages (>40 years; Fig. 2C). The latter two groups are thus classified as intermediate\(+\) and intermediate\(-\).

Analysis of other ERG parameters was largely consistent with these findings (Fig. 3; Supplementary Fig. S2; Supplementary Table S2). None of the mutations was notably different from the others in terms of the relationship between amplitude loss and peak time delay (Supplementary Fig. S4). Analysis of ERG amplitudes against disease duration was also performed, with consistent results; age was chosen as the main parameter for classification because of a larger set of available data and the ability to consider age-related normative data (Supplementary Fig S3).

Mutations resulting in severe ERG phenotypes were assessed in homozygous states, when available (Fig. 4). Patients homozygous for p.R212C, p.R1108C, and p.P1380L had significantly better amplitudes in comparison to the equivalent hemizygous patients (Fig. 4A), whereas patients homozygous for E1022K, p.E1087K, p.C1490Y, and p.T1526M were still similar to nulls (Fig. 4C). The analysis of the LA 3.0 30-Hz amplitudes was consistent with these findings with the exception of p.T1526M (Supplementary Fig. S2).

**Fundus Autofluorescence and Optical Coherence Tomography**

The structural changes in the macula were assessed using FAF and OCT for each severity class after classifying the mutations by ERGs (Supplementary Table S3; Fig. 5). Two distinct phenotypes were observed for the mild mutations p.G1961E and p.R2030Q. The majority of p.G1961E patients had a notable loss of photoreceptors in the fovea, and flecks were either absent or localized within the vascular arcades, while both p.R2030Q patients had foveal sparing and widespread flecks and mottling in the macula and extending beyond the vascular arcades (representative OCT images shown in Fig. 5). Intermediate mutations displayed a relatively homogenous phenotype for all genotypes, with the fundus abnormalities extending beyond the vascular arcades in 85% (34/40) of cases. In the early stages, patients often exhibited a relatively small...
central atrophic lesion, surrounded by widespread flecks (other than patients harboring intermediate p.R212C and p.P1380L), often associated with a normal ERG (Fig. 5, second column), while at the later stages the central atrophy was larger and surrounded with widespread RPE mottling, and usually associated with ERG group 2 or 3 (Fig. 5, third column). There were three patients with foveal sparing, harboring p.R24H, p.G865A, and p.L2027F (ages 54, 54, and 22 years, respectively), all with normal ERGs. For the mutations p.R212C, p.R1108C, and p.P1380L (classified as intermediate), the age-matched homozygous patients all showed notably greater RPE preservation outside the vascular arcades (Fig. 4C). Null-like mutations were associated with foveal atrophy in all cases and widespread FAF abnormalities, qualitatively similar to the age-matched nullizygous phenotypes (Fig. 5). Flecks were present only in the youngest patients, while large areas of central atrophy and widespread mottling were observed at older ages. Homozygous patients (available for p.E1022K, p.E1087K, p.C1490Y, and p.T1526M) exhibited similarly severe phenotypes (Fig. 4D). Figure 6 shows representative OCT scans through the flecks associated with intermediate and null mutations. The flecks in all cases examined were located at the RPE level, but there was better preservation of photoreceptor layers surrounding the flecks in patients harboring intermediate mutations (Fig. 6B–D). The association between FAF abnormalities and abnormal ERG was strongly dependent on the genotype. Among patients with widespread FAF irregularities, an abnormal ERG was observed in 0%, 66%, and 100% cases for mild, intermediate, and null-like mutations, respectively (significant for all intergroup comparisons, Fisher’s exact test, \( P < 0.005 \)).
Mild mutations

Intermediate mutations

Early stage Late stage

Null-like mutations

Nullizygous (age matched)

FIGURE 5. Representative fundus autofluorescence images of patients hemizygous for 15 different ABCA4 mutations. Mutations of different ERG severity (mild, intermediate, and null-like) are shown in separate columns. Representative OCT scans are shown for p.G1961E and p.R2030Q to note the qualitatively different macular phenotypes (all had a normal ERG). A FAF image of a patient with a normal ERG (ERG group 1) and an abnormal ERG (ERG group 2 or 3) is shown for each intermediate mutation. An age-matched nullizygous patient is shown next to each nullizygous patient (all had an abnormal ERG).
**DISCUSSION**

**Phenotypic Characteristics of Distinct ABCA4 Mutations**

This study proposes a categorization of 15 distinct ABCA4 mutations into different severity classes based on the departures of their hemizygous phenotypes (when in trans with null) from the nullizygous phenotype. A summary of clinical findings and topographical location of the studied mutations are presented in Figure 7.


A mild phenotype associated with p.G1961E (normal ERGs, absence of dark choroid, and low quantitative FAF) has been reported previously,\(^26,27\) but mostly in compound heterozygotes, carrying an additional missense mutation with an unknown effect. An important finding in the current study is that p.G1961E spares the peripheral retina when in a hemizygous state. Interestingly, the macular FAF pattern was different in the other mild mutation, p.R2030Q, causing a foveal sparing phenotype and extensive FAF irregularities. This corroborates reports on compound heterozygous patients, in which p.R2030Q was the most common mutation involved in foveal sparing,\(^28\) and p.G1961E in the optical gap phenotype (i.e., isolated loss of photoreceptors in the fovea).\(^29\) It will be important to use quantitative assessment of the FAF and OCT images to strengthen and expand these observations in the future. ABCA4-retinopathy is thought to be caused by at least two contributing pathogenic mechanisms, one being RPE damage triggered by phagocytosis of bisretinoid-laden outer segments, and the other being direct cone toxicity\(^12,30–32\); and it is tempting to speculate that the phenotypic differences between p.G1961E and p.R2030Q reflect the predilection for foveal cone damage for p.G1961E mutants and toward RPE damage for p.R2030Q mutants.


Intermediate mutations must produce a sufficient amount of functional ABCA4 protein to differentiate them from null; however, there is deterioration of peripheral retinal function with time. The FAF and OCT characteristics, that is, the presence of widespread flecks in patients with normal ERG and preserved photoreceptors surrounding the flecks on the OCT, suggest that the presence of ABCA4 function not only delays but may also modify the underlying pathophysiology of retinal degeneration, potentially causing prevalent RPE toxicity rather than direct photoreceptor toxicity. A quantitative analysis of FAF and OCT images is needed to explore this further. The p.G863A mutation is one of the most frequent ABCA4 variants and was classified as mild in a previous study,\(^13\) although only patients with a normal scotopic ERG were included, possibly skewing the genotype-phenotype correlation. The present study suggests that when in trans with a null, that mutation carries a considerable risk of retina-wide degeneration after the fourth decade, although it may be difficult to relay this information into clinical practice. There was a degree of intra- and interallelic phenotypic variability within the intermediate group. For example, the mutation p.R24H may be associated with the highest ABCA4 function within the group, as the patients had the latest median age at the onset of visual symptoms, and foveal sparing was present in the youngest patient. Conversely, p.R212C, p.R1108C, and p.P1380L mutations may be associated with the lowest degree

![Figure 6](http://tvst.arvojournals.org/...). FAF and OCT characteristics of flecks (arrows) associated with null/null-like and intermediate alleles. Patient hemizygous for p.E1087K (null-like) mutation had qualitatively similar flecks to the nullizygous patients (first column), whereas the flecks of patients hemizygous for intermediate+ mutations were relatively larger and better delineated (second column). On the OCT scans (third column, location noted with green lines), the flecks of nullizygous and intermediate+ patients correlated with RPE thickening with no obvious qualitative differences in terms of their localization or shape (OCT of patients 31599 and 30989 were not available). However, the hyperreflective lines, representing photoreceptors (ISe and ELM), appeared to be more preserved in patients harboring intermediate+ mutations.
null-lyzing mutations (p.L541P
þ

The ages of onset, ERG amplitudes, and FAF abnormalities associated with null-like mutations were mostly comparable to those of the nullizygous patients. Ten of the studied mutations were previously included in one or both of the two larger genotype–phenotype studies to date14,15 (Table 2), but those studies included compound heterozygotes and used subjective parameters of visual function such as visual field and visual acuity. Although direct comparison is difficult, there is general agreement regarding the severe phenotype associated with p.C2150Y and p.L541P
þ
p.A1038V, and comparatively milder phenotype associated with p.G863A, p.P1380L, p.L2027F, p.R2030Q, and p.G1961E. There was some discrepancy regarding c.5714+5G>A, p.R1108C, and p.T1526M, which could reflect the phenotypic variability within a specific genotype and/or the fact that most patients in those studies harbored a second variant of unknown severity. It has been suggested that some ABCA4 mutations, including p.L541P
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p.A1038V (complex allele) and p.C2150Y, produce a more severe phenotype than the nullizygous genotype.14 None of the mutations in the present study was associated with a more severe phenotype than seen in nullizygous patients, including p.C2150Y, represented by a considerable number of patients. There was, however, only one p.L541P
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p.A1038V patient, and more data are needed to make a definitive conclusion regarding this allele.

A limitation of the study is that analysis of the clinical impact of various hemizygous phenotypes cannot easily be extrapolated from the analysis of the behaving nullizygous and null-like hemizygous patients.
null-like mutations p.L541P, p.A1038V, p.E1087K, and p.T1526M shared similar in vitro characteristics in terms of producing near-normal protein yields6-10 with varying degrees of ATPase dysfunction, in some cases similar to the in vitro profile of p.G1961E, which is surprising considering that they produced a severe, null-like phenotype. An important limitation of the studies using HEK 293 cells is the inability to demonstrate defects of protein localization that might be specific to the photoreceptor cells. Two of the mutations from this subgroup (p.C1490Y and p.L541P, but not p.A1038V) caused an almost complete mislocalization of the protein.35 Clinical trials are in progress to assess the efficacy of a drug, VX-809, designed to reduced misfolding of specific ABCA4 mutations p.R1108C and p.R1129C.34 Other mutations from the intermediate group may also be candidates for testing the effect of such drugs.

**Null-Like Mutations**

The null-like mutations p.L541P, p.A1038V, p.E1087K, and p.T1526M shared similar in vitro characteristics in terms of producing near-normal protein yields6-10 with varying degrees of ATPase dysfunction, in some cases similar to the in vitro profile of p.G1961E, which is surprising considering that they produced a severe, null-like phenotype. An important limitation of the studies using HEK 293 cells is the inability to demonstrate defects of protein localization that might be specific to the photoreceptor cells. Two of the mutations from this subgroup (p.C1490Y and p.L541P, but not p.A1038V) caused an almost complete mislocalization of the ABCA4 protein to the photoreceptor inner segments of transgenic frogs.11 Although this was not duplicated in the p.L541P/p.A1038V transgenic mouse, in which the mutant protein seemed to be degraded,10 a similar mechanism could be involved in pathogenesis in humans for those and other mutations from this severe subgroup.

An obvious limitation of this study is the small number of patients with some of the mutations, which was to some extent ameliorated by recruiting homozygous patients for those mutations. A further limitation was the difference in age of patients at the time of ERG recordings. This was to a large extent overcome by using the plots of ERG amplitudes against age and utilizing the amplitude decline of the nullizygous group as a baseline. Further longitudinal studies are nevertheless crucial to confirm these cross-sectional data. There was also variation in the phenotype seen within each of the studied genotypes, probably due to environmental or genetic modifier influencing the phenotype, and a certain extent of overlap between mutations of different classes of severity.

In conclusion, this study examines the effects of 15 ABCA4 mutations, each occurring in trans with a null, on age of onset and on functional and structural retinal phenotypes. Quantified ERG parameters of rod and cone system function are compared with those of nullizygous ABCA4 cases across a wide range of ages, identifying those likely to have residual function and enabling mutations to be graded into different severity classes. Additionally, the FAF patterns associated with those mutations are described, including the distinct p.G1961E phenotype, and the results interpreted in the context of in vitro studies to generate hypotheses of the disease mechanisms. The data inform our understanding of the effect of the genotype on the clinical presentation of ABCA4-retinopathy and may be helpful in the counseling and management of affected patients and their families.
families, as well as in the optimum design and accurate interpretation of future interventional trials.

**Acknowledgments**

Supported by the National Institute for Health (NHS) Research Rare Diseases Translational Research Collaboration (NHIR RD-TRC, Cambridge, UK) and National Institute for Health Research (NHIR) Moorfields Biomedical Research Centre (London, UK), Institute of Ophthalmology, University College London (London, UK). The views expressed are those of the author(s) and not necessarily those of the NHS, the NHIR, or the Department of Health. The research has also been supported by Fight for Sight (London, UK) and Foundation Fighting Blindness (Columbia, MD, USA).

Disclosure: A. Fakin, None; A.G. Robson, None; J.(P.-W.) Chiang, None; K. Fujinami, None; A.T. Moore, None; M. Michaelides, None; G.E. Holder, None; A.R. Webster, None

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