Surgically Induced Focal Retinal Detachment Does Not Cause Detectable SD-OCT Retinal Changes in Normal Human Retina

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A growing body of literature suggests that gene therapy and stem cell–based therapies of the retina and RPE are possible and even efficacious. Induction of focal retinal detachment (RD) is necessary for the subretinal delivery of these therapies,1,2 and a large body of literature has demonstrated that photoreceptors die when separated from the RPE.3 It is not known if surgically induced RD has the same adverse consequences for the retina as pathologic RD or other animal models of RD. Therefore, it is necessary to understand the impact of a focally induced surgical RD on the living human retina to maximize the success of the surgeries that use this technique and minimize the damage to the neurosensory retina and RPE.

Several animal studies have demonstrated that induction of RD causes damage to the overlying neurosensory retina.4 For example, induction of RD in rabbits using physiologic solution (balanced salt solution or its equivalent) causes almost immediate microscopic intracellular and extracellular edema throughout the retina and RPE based on histologic studies performed on enucleated eyes immediately following the surgical procedure.5,6 It is questionable whether these effects are long-term changes lasting for months to years. These studies have also indicated that perfusing the vitreous with a modified solution prior to artificial RD can reduce the adhesiveness of the retina to the RPE and decrease the ultrastructural damage.5,6 Another study indicated induction of chronic RD in mice with sodium hyaluronate causes photoreceptor apoptosis, focal inflammatory response within the inner retina, and loss of photoreceptors at 24 hours with subsequent progressive loss over several days.7 In these mice, histology demonstrates 10% to 15% decrease in outer nuclear layer (ONL) thickness over 7 days.7 Similar findings have been demonstrated in the cat8 and rat9 using sodium hyaluronate to cause chronic RD. The presence of photoreceptor apoptosis in human subjects with naturally occurring, pathologic rhegmatogenous RD (RRD) of at least 1-day duration has also been demonstrated, suggesting that a similar mechanism of retinal damage occurs in human subjects.10

Although animal and histologic studies are useful, in vivo evaluation of the retina using spectral domain–optical coherence tomography (SD-OCT) has provided a wealth of knowledge regarding pathologic changes associated with RRD in humans.11–13 Gharbiya et al.11 demonstrated defects in the external limiting membrane (ELM), ellipsoid zone (EZ), and...
Vision in pathologic conditions. Unlike pathologic RRD does not cause long-term harm and may actually improve. OCT can detect subclinical, but visually significant, pathologic change including PPV and subretinal displacement of SMH. Although this is not a universal practice, many studies have demonstrated at least some efficacy of this method. Successful surgical displacement of a SMH requires a focal RD (bleb) that necessarily extends outside the region of the SMH. Therefore, a small region of normal, nondetached retina outside the region of SMH is necessarily detached to achieve the goals of the surgery. In this retrospective study, we aimed to determine whether the incidental induction of a RD in the region surrounding the SMH caused any changes in the normal retinal structure of that region using SD-OCT. This is the first and only study that we are aware of examining the impact of surgically induced, focal RD on normal human macular anatomy and provides valuable data for gene therapy and cell-based studies of retinal disease.

**Materials and Methods**

**Patients**

This was a two-site, observational, retrospective study of human subjects approved by the USC Institutional Review Board (IRB), as well as the Western IRB for the respective sites involved. The methods were in compliance with the criteria described by the Declaration of Helsinki. The study consisted of a retrospective chart review of subjects with a history of macula-off RRD and subsequent medically indicated therapeutic intervention including PPV and subretinal displacement of SMH using tissue plasminogen activator (tPA). Subjects with SMH were included if the following criteria were met: (1) occurrence of a large SMH treated with PPV and subretinal tPA displacement, (2) high-quality OCT scans captured on a Heidelberg Spectralis SD-OCT device (Heidelberg Engineering, Inc., Franklin, MA, USA) of pathologic and normal retinal areas on one occasion prior to surgery and another following surgery, and (3) resolution of the SMH as determined by the color images and OCT scans. The last criterion was necessary to ensure that accurate measurements of the retina could be made without potential confounding effects of subretinal heme on OCT measurements. Patients with poor-quality images or lack of sufficient images to document the extent of the SMH and surgical detachment were excluded. All surgeries were performed by one of two surgeons (JDW or AIHK), and the extent of RD induced in the cases was known to be at least one disc diameter outside the region of SMH.

**PPV and Subretinal tPA Injection**

Surgical procedures were performed using standard 23- or 25-gauge PPV methods on a Constellation (Alcon, Inc., Ft. Worth, TX, USA) vitrectomy system and standard cannula placement. Balanced salt solution (BSS-Plus; Alcon, Inc.) was used for irrigation of the eye during vitrectomy. Intraocular visualization was achieved using the OPMI Lumera 700 surgical microscope with ReSight viewing system (Carl Zeiss Meditec, Inc., Dublin, CA, USA) or a similar system. A posterior vitreous detachment was induced if not already present in all cases. A 41-gauge subretinal infusion cannula (MedOne Surgical, Inc., Sarasota, FL, USA) was used to induce the focal RD starting in the inferior or superior edge of the SMH. In all cases, the procedure included a subretinal injection of tPA (25–50 μg/0.1 mL) sufficient to detach the complete area of the SMH, as well as at least one disc diameter of normal retina in all directions surrounding the SMH. In most cases, the detachment extended more peripherally, and the detachment was confirmed intraoperatively by the surgeon without the use of a hand-held OCT. A 50% to 75% air fill was performed in all subjects to aid in displacement of SMH. In all cases, the area of induced RD was completely reattached on clinical examination postoperative day 1. Subjects were seen for postoperative follow-up at 1 day, 1 week, and 1 month, and subsequently, at the discretion of the surgeon and based on medical need. No subjects required additional procedures and no recurrence of hemorrhage was noted.

**Chart Review**

The following patient demographics and clinical data were collected from subject medical records: age, sex, preoperative best-corrected visual acuity (BCVA), final postoperative BCVA at the latest follow-up, preoperative IOP, final postoperative IOP at the latest follow-up, and clinical characteristics of the SMH prior to surgery and following surgery. BCVA was recorded as Snellen visual acuity or count fingers (CF), hand motion (HM), or light perception (LP) and converted to logarithm of the minimum angle of resolution (logMAR) for statistical analysis. Visual acuity classified as LP, HM, and CF were converted to logMAR equivalents of 3.0, 2.3, and 1.9, respectively, according to a previously published report. Fundus photographs of the pre- and postoperative affected eye were also obtained for visualization of the SMH and its anatomic borders.

**SD-OCT Retinal Image Analysis**

SD-OCT images were manually analyzed with the caliper feature on the Heidelberg Spectralis commercial software by one of the authors (KK) and validated by the senior author.
No commercially available, automated measurements of the retina were used. The image contrast was manually set for each individual SD-OCT scan to optimize the signal-to-noise ratio and allow identification of relevant retinal anatomy, especially the retinal layers. Manual measurements were made to quantify the inner retinal thickness (IRT), outer retinal thickness (ORT), and full retinal thickness (FRT) in the 1:1 display, including those for oblique measurements. Although it was possible to identify the approximate location of outer retinal layers in subjects with SMH, the identification of the outer boundary of the ONL was at the discretion of the graders’ best judgment and possibly prone to error. Therefore, we performed separate manual measurements of the IRT and ORT to remove any potential bias that would be introduced by manual measurements of the ORT. The IRT included the internal limiting membrane (ILM), retinal nerve fiber layer, ganglion cell layer, inner plexiform layer, and inner nuclear layer (INL). The ORT included the outer plexiform layer (OPL) and ONL. The border of the IRT and ORT at the junction between the INL and OPL was chosen, because this location was observed to be most clearly delineated across all pre- and postoperative SD-OCT scans (making the measurements most consistent and accurate for the IRT and ORT). The FRT spanned from the ILM to the outer boundary of the ONL.

Although a published nomenclature outlined the retinal landmarks, and another previously published study concluded that the automated Heidelberg Spectralis SD-OCT defines the full thickness retina to the outer boundary of the RPE, our dataset was limited by the variability in retinal anatomy and obscuration of the RPE, especially in preoperative SD-OCT scans of the retina overlying the SMH. To obtain consistent results across all scans, we avoided automated measurements and performed manual measurements in the areas overlying the SMH, corresponding normal areas in the untreated contralateral eye, and normal areas immediately adjacent to the SMH in the treated eye. However, measurements of the neurosensory retina spanning from the ILM to the inner boundary of the RPE were also performed in the normal, adjacent areas to detect changes in significant differences. In five pre- and one postoperative SD-OCT scans (Figs. 1A, 1B), the retina was oriented obliquely relative to the x-axis, so measurements were made perpendicular to the axis of the retina, rather than parallel to the green OCT line marker. The locations of the outer retinal landmarks were manually interpolated from adjacent normal regions in cases where the boundary of the outer layers was difficult to directly visualize due to the adjacent subretinal hemorrhage. This method has been previously described. The visibility of the ELM, EZ, and RPE were also noted on each scan that was used for measurements.

**FIGURE 1.** Fundus photographs and representative SD-OCT images of patient 3. (A) SD-OCT section of SMH involving the fovea prior to surgery shows a thickened inner and outer retina. The RPE is not visible underneath the SMH. The red line represents the measurement made for the IRT, the yellow line represents the ORT, and the green line represents the FRT. (B) Postoperative month 11.5 SD-OCT scan of the same area shows resolution of the SMH. The IRT and ORT appear qualitatively thinner. The ELM and RPE are also apparent now. The same color scheme in A is used to highlight the measurements for the retinal thicknesses. (C) Infrared fundus image of the retina with the bold green line representing the location of SD-OCT retinal thickness measurements before and after subretinal tPA displacement of SMH. (D) Preoperative fundus photograph shows the SMH covering the fovea. (E) Postoperative month 1 fundus photograph shows resolution of the SMH.
Retinal thickness measurements of the detached area overlying the SMH were obtained prior to surgery and repeated in the same areas following surgery. Many subjects did not have pre- or postoperative imaging sufficient for inclusion in this study. To perform standardized and reliable measurements, all measurements were obtained within a predefined circular annulus that had an approximate inner and outer diameter of 3 and 4 mm, respectively, and was centered on the fovea (Fig. 2). This predefined region of interest (ROI) was selected such that it would maximize the possibility of including both detached retina as well as normal retina within its boundaries across all cases. By performing retinal measurements in normal and abnormal areas within this ROI, the retinal thickness overlying areas of SMH could be compared with retinal thickness measurements from the contralateral eye but symmetrical locations of the macula that served as controls. In this way, the normal variations in retinal thickness due to retinal topology was not a confounding factor in the measurements.

In most cases, measurements of the retinal thicknesses were made at the 12 and 6 o’clock locations on the ROI for consistency and comparability between individual measurements on different dates and among subjects. If a normal region of attached retina was not observed within the prespecified ROI in the pathologic eye, similar corresponding measurements were made preoperatively in the contralateral unaffected eye to serve as controls. Figure 3 is another schematic of preoperative and postoperative fundus photography illustrating the locations where retinal thickness measurements were made in the areas overlying the SMH and, if possible, in the normal unaffected locations immediately adjacent to the SMH. In addition, the retina highlighted in the blue box of Figure 2A is an example of a normal region that was unaffected by the SMH but affected by the induced focal, short-term retinal detachment. If normal areas were available for assessment on the OCT scans, qualitative assessments of the RPE, ELM, and EZ were also done in these areas.

Statistics
SPSS Statistics (IBM, Armonk, NY, USA) was used to perform the statistical analysis. Due to the small sample size and the non-normal distribution of data, nonparametric tests were performed. The Wilcoxon signed rank test was used to determine statistically significant differences in SD-OCT measurements and BCVA with a type I error less than 0.05.

RESULTS
Eleven patients with SMH and history of neovascular AMD were initially included in the study population. Seven eyes
from four patients were excluded due to inadequate SD-OCT scan quality and/or failure of the SMH to resolve by the end of the study period. Seven eyes from seven patients were included in the final analysis. Four eyes were included in the analysis for the normal attached areas, because the SMH was so large in other cases that normal retinal sections were not in the field of the SD-OCT scan. The final BCVA was significantly improved (0.81 ± 0.43 vs. 1.86 ± 0.70 logMAR; \( P = 0.027 \)).

The subject demographics are summarized in the Table.

Quantification of Retinal Thickness Adjacent to SMH
To examine the impact of surgically induced RD on the apparently normal retina surrounding the SMH, we performed retinal thickness measurements on four preoperative and four postoperative SD-OCT scans in completely attached retina immediately adjacent (within 1 to 2 disc diameters or \( \sim 1.5 \) mm) to the SMH. These areas were all confirmed to be detached by visual inspection intraoperatively during the SMH displacement procedure by the surgeon. These measurements did not show changes in IRT (145 ± 19 vs. 149 ± 37; \( P = 1.00 \)), ORT (87 ± 5 vs. 82 ± 8 μm; \( P = 0.11 \)), or FRT (292 ± 47 vs. 264 ± 34 μm; \( P = 0.47 \)) as a result of the RD induction (Fig. 4). To validate our results using more commonly accepted anatomical markers of ORT and FRT, we repeated the measurements of the ORT (\( P = 0.11 \)) and the FRT (\( P = 0.20 \)) to the inner boundary of the RPE. This analysis also showed no changes in thickness from before to after surgery.

Quantification of Retinal Thickness Overlying SMH
We performed measurements of the retinal thickness overlying the SMH for comparison with pre- and postoperative measure-
ments made in seven affected eyes and normal measurements made in the seven preoperative contralateral, unaffected eyes. Preoperative IRT (222 ± 59 vs. 140 ± 17 μm; P = 0.018), ORT (203 ± 97 vs. 85 ± 12 μm; P = 0.028), and FRT (427 ± 63 vs. 264 ± 22 μm; P = 0.018) overlying the SMH were greater than corresponding normal areas in the contralateral unaffected eye (Fig. 5). The postoperative IRT in the areas overlying the SMH were greater compared with corresponding normal areas in the contralateral eye (171 ± 31 vs. 140 ± 17 μm; P = 0.018), but no differences were evident for the postoperative ORT (72 ± 34 vs. 85 ± 12 μm; P = 0.31) and FRT (289 ± 77 vs. 264 ± 22 μm; P = 0.87) compared with normal areas. The postoperative IRT (171 ± 31 vs. 222 ± 59 μm; P = 0.028), ORT (72 ± 34 vs. 203 ± 97 μm; P = 0.043), and FRT (289 ± 77 vs. 427 ± 63 μm; P = 0.018) overlying the SMH were decreased compared with preoperative thicknesses. Figures 1, 2, and 6 illustrate examples of pre- and postoperative fundus photos and SD-OCT scans with retinal thickness measurements shown.

**Qualitative Assessment of Outer Retinal Banding Patterns**

We assessed the impact of surgically induced RD on outer retinal structures in the normal region of retina surrounding the SMH that was incidentally detached during the SMH displacement procedure (Table). In all subjects in whom normal regions of retina immediately adjacent to the SMH were available to view on SD-OCT, the ELM, EZ, and RPE were visible and unchanged before and after surgery. This suggests that focal RD of these areas did not cause significant damage. In contrast, outer retinal structures overlying the SMH were more variably affected and less easily visible because of the overlying hemorrhage (Table).

**DISCUSSION**

Surgically induced RDs are becoming more commonplace in the delivery of gene and cell-based therapies. However, it is not known whether this form of RD has the same detrimental impact on retinal anatomy as pathologic retinal detachments such as RRD 

\[ \text{IRT} + \text{ORT} + \text{FRT} \]

or more chronically induced experimental RD in animal models. \cite{4, 7-9} The goal of this study is to determine whether a surgically induced focal RD of the normal retinal regions surrounding a SMH causes any detectable changes in retinal anatomy. This study was possible because patients with SMH often undergo PPV and a surgical displacement procedure, which incidentally detaches a small rim of normal retina around the SMH. This provides a unique opportunity to study the impact of this increasingly common procedure in the normal retina of human subjects.

This study is novel in that it uses a meticulous, manually guided protocol for measurement of retinal thickness in the unaffected (attached) retinal regions immediately surrounding the SMH. Specifically, we demonstrate that surgical induction of a focal, short-term RD to displace a SMH does not cause significant changes in retinal thickness, as detected by SD-OCT, in regions of the attached retina that are incidentally detached by this surgical procedure.

Our study also confirms previous SD-OCT-based findings of thickness changes in the retinal regions overlying the SMH that have been demonstrated by other investigators. \cite{17} This validates the methods that we used to make our observations. Although the mean ORT was greater overlying the SMH, this measurement had a large SD because it was difficult to reliably identify the outer retinal boundaries in the presence of SMH in at least some cases. We performed manual measurements of the IRT, in addition to the ORT and FRT, to remove any potential bias that would be introduced by relying on the sometimes poorly apparent outer boundaries of the ORT and FRT for accurate measurements. The IRT was less prone to bias, since its boundaries were always clearly identifiable. The mean IRT and FRT overlying the SMH prior to surgery were both significantly greater than topographically similar normal regions in the normal contralateral eye (Fig. 5). This increase in thickness is likely due to microscopic intraretinal edema that has been demonstrated in animal and human studies. \cite{5} Measurements in the normal contralateral eye were only performed preoperatively and not repeated postoperatively for comparison to measurements in the postoperative affected eye. Lack of repeat postoperative measurements in the normal contralateral eye likely does not affect the results, because a previous study indicated no significant changes in diurnal variations in SD-OCT. \cite{27}
Despite our understanding of the retinal landmarks, not all of the preoperative SD-OCT scans had an easily identifiable RPE so we chose to use the outer border of the ONL as the outer border of the ORT and FRT. To standardize measurements across all SD-OCT scans, we used the same segmentation scheme, even in scans that included an identifiable RPE. Although this method is imperfect, it was the most reliable method to standardize measurements, and our findings in the regions overlying the SMH are supported by previous findings using SD-OCT-based methods to evaluate retinal thickness changes following SMH. However, to evaluate the difference in statistical significance based on segmentation scheme, we performed measurements of the ORT and FRT to the inner boundary of the RPE in the normal areas adjacent to the SMH affected by the induced RD but with normal retinal landmarks. Even in these repeat measurements, the ORT and FRT were not different from before to after surgery, suggesting that segmentation scheme does not bias the overall significance of our findings.

Our manual measurements were oriented perpendicular to the retinal surface to accurately measure the retinal thicknesses, especially in the preoperative SD-OCT scans. In the 1:1-μm display of the Heidelberg OCT images, obliquely oriented measurements accurately measure the retinal thicknesses, based on a previous study that indicated measurements oriented perpendicular to the retina in the 1:1-μm display are more accurate, especially in obliquely oriented images. This was confirmed with oblique measurements made in relation to the 200-μm scale observed in the scans. If the measurements were oriented parallel to the green OCT line marker, the measurements would not accurately reflect the retinal thicknesses, leading to inconclusive results.

Postoperative ORT and FRT after SMH displacement were not significantly different from topographically similar normal retinal regions (Fig. 5), presumably due to the resolution of microscopic intraretinal edema, although this may also represent a loss of photoreceptors. In agreement with most other studies, these findings demonstrate that surgical displacement of SMH can improve vision and at least restore retinal anatomy with minimal apparent damage to the areas involved in the SMH. Nevertheless, the effectiveness of surgical displacement is somewhat controversial, because there are reports of spontaneous visual improvement without any intervention, as well as lack of improvement in at least some cases.

Our findings suggest that the results of studies showing anatomic changes after pathologic RRD may not apply to surgically induced focal RD in humans. Similarly, the sometimes dramatic changes in retinal anatomy that have been described in experimental models of chronic RD also should be interpreted with caution when applied to human subjects. We hypothesize that this difference is because both pathologic RRD and more chronic, experimental RD in animal models last several days or more, whereas the surgically induced focal RD...
lasts less than 1 day and likely less than several hours. In no case did the authors observe the persistence of a surgically induced RD on the first postoperative day. In addition, there may be other less controlled factors that mitigate against retinal damage in surgically induced RD, such as continuous intraocular irrigation (which would remove inflammatory mediators) and standard use of preoperative and postoperative steroids.

There has also been evidence to suggest that transient iatrogenic subfoveal injections may cause damage to the foveal cones, resulting in foveal thinning. Six of the eyes affected by SMH in our study had hemorrhages underlying the fovea, so the transient surgically induced RD affected the fovea as well. Jacobson et al.32 assessed the efficacy of subfoveal gene therapy injections for the treatment of Leber congenital amaurosis in a phase I study. Their analysis of pre- and postoperative SD-OCT scans indicated that two of five patients with a foveal detachment had significant long-term thinning of the fovea, accounting for intervisit variability, and the other three patients had either no long-term change in foveal thinning or insignificant foveal thinning. In addition, foveal thinning was noted in a patient without a foveal injection and the untreated eye of another patient.32 These findings suggest that the long-term foveal thinning may be related to the natural disease course, rather than the subfoveal injections. At 30 days postoperatively, the short-term disruption in photoreceptor inner and outer segments may be related to the subfoveal injections, but these changes were noted to be recovered with longer follow-up,32 suggesting that subfoveal detachment is not related to permanent damage to the retina. Our study included patients that had a longer follow-up period ranging from 9 to 46 months, and our long-term results are consistent with the long-term findings of Jacobson et al.32 Resolution of the retinal anatomy over a long period was required for accurate measurements of retinal thicknesses on SD-OCT scans, so collection of short-term changes in retinal thickness likely would have resulted in unreliable results.

The limitations of our study include potential bias of manual measurements, small sample size, the retrospective nature of the study, and lack of confirmation of the incidental detachment of the adjacent, normal retina with intraoperative SD-OCT. A similar controlled or prospective study would be difficult to organize, because it would require a multicenter study over a prolonged period, given the relatively low frequency of large SMH undergoing surgical displacement. Our sample size was also small due to exclusion criteria that eliminated patients who did not have high-quality SD-OCT scans of both normal and pathologic areas for comparison. Because of the small sample size, the power of the study was inadequate in detecting small statistically significant differenc-
es. We attempted to mitigate these limitations by performing separate measurements of the IRT and ORT to minimize potential error from distortion of outer retinal features secondary to the SMH. In addition, we developed a very careful measurement protocol to compare topographically similar regions of the retina that could serve as internal controls.

Another limitation of our study involves the inability of SD-OCT to detect ultrastructural changes to the retina and underlying RPE. Histologic studies in animal models have demonstrated subtle changes in retinal anatomy that are likely below the limit of detection of SD-OCT.7 For example, Szurman et al.6 noted subcellular changes in retina and RPE on electron microscopy that are likely not detectable on SD-OCT. It is interesting, however, that we were able to detect a small, significant increase in postoperative IRT compared with the normal, contralateral eye, even after SMH displacement (Fig. 5). This may represent the macroscopic result of otherwise undetectable interstitial and intracellular edema throughout the whole retina. Nevertheless, we cannot rule out that surgically induced focal RD causes minor ultrastructural changes that cannot be detected on SD-OCT as demonstrated in histologic studies of transient retinal detachments.55 However, studies also indicated long-term damage to photoreceptors can be stopped, possibly even reversed,54 by rapid reattachment, preferably less than 1 day.55 Our results suggest even if minor damage is induced, it is not severe enough to cause changes in SD-OCT. Because surgical induction of focal RD is only performed in cases of relatively severe vision loss, the overall benefits of the therapeutic procedure may outweigh any potentially undetectable retinal damage from the induced detachment procedure. Histologic studies in humans following surgical detachment of the retina is not feasible, and these data are still clinically relevant and important, especially for therapies requiring access to the subretinal space.

In conclusion, our results show that surgical induction of a short-term, focal retinal detachment does not cause changes in retinal anatomy that can be detected by SD-OCT. This finding supports the growing use of this procedure in the delivery of gene and cell-based therapies to the retina in subjects with severe vision loss.

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References

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